

## FOLIATIONS BY MINIMAL SUBMANIFOLDS AND RICCI CURVATURE

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**Abstract.** Let  $(M^n, g)$  be a closed, connected, oriented,  $C^\infty$ , Riemannian,  $n$ -manifold with a transversely oriented, codimension-2 foliation  $\mathbf{F}$ . Suppose the transverse volume form  $\mu$  is basic and  $\{X, Y\}$  are basic vector fields, so  $\mu(X, Y) = 1$ . Then the leaf component of  $[X, Y]$ ,  $\mathcal{V}[X, Y]$ , is globally defined on  $M$  and is independent of the basic pair of vector fields  $\{X, Y\}$  satisfying the above equation as observed by Cairns in [5]. Using the Bochner technique, we show under appropriate assumptions on cohomology and on the Ricci curvature of the leaves of the foliation  $\mathbf{F}$ , that the distribution orthogonal to that of the leaves,  $\mathbf{H}$ , is integrable and the leaves of this new foliation are minimal surfaces of  $M$ . Using results from Milnor [26] and Gray [19], we apply this theorem to give a necessary and sufficient condition for certain principal bundles to admit a flat connection (Corollary 1.6). In the second section we provide some analogous results for the special case when  $\mathbf{F}$  is a Riemannian foliation.

**Section 1.** Throughout this paper all maps, functions and morphisms are assumed to be at least of class  $C^\infty$ . On a closed connected oriented  $C^\infty$  Riemannian manifold  $(M^n, g)$ , let  $\mathbf{F}$  be a transversely oriented foliation of leaf dimension  $p$  and codimension  $q = n - p$ . Let  $\mathbf{V}$  denote the distribution tangent to the foliation  $\mathbf{F}$ , and  $\mathbf{H}$  the distribution orthogonal to  $\mathbf{V}$  in  $TM$  determined by the metric  $g$ . If  $E$  is a vector field on  $M$ ,  $\mathcal{V}E$  and  $\mathcal{H}E$  will denote the projections of  $E$  onto the distributions  $\mathbf{V}$  and  $\mathbf{H}$ , respectively. Call the vector field  $E$  *vertical* if  $\mathcal{V}E = E$ . Call  $E$  *horizontal* if  $\mathcal{H}E = E$ .

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In general a  $C^\infty$  *foliation* of *codimension- $q$*  on an  $n$ -dimensional manifold  $M$  can be defined as a maximal family of  $C^\infty$  submersions  $f_\alpha : U_\alpha \rightarrow f_\alpha(U_\alpha) \subset \mathbb{R}^q$  where  $\{U_\alpha\}_{\alpha \in \Lambda}$  is an open cover of  $M$  and where for each  $\alpha, \beta \in \Lambda$  and each  $x \in U_\alpha \cap U_\beta$ , there exists a local diffeomorphism  $\phi_{\beta\alpha}^x$  of  $\mathbb{R}^q$  so  $f_\beta = \phi_{\beta,\alpha}^x \circ f_\alpha$  in some neighborhood  $U_x$  of  $x$  (see [24], pp. 2–3).

A horizontal vector field  $Z$  defined on some open set  $U$  where  $U \subset U_\alpha$  is called  $f_\alpha$ -*basic* provided  $f_{\alpha*}Z$  is a well defined vector field on  $f_\alpha(U)$ . As pointed out in [12] (for *any* metric  $g$ ), if  $U \subset U_\beta$ , then  $Z$  is also  $f_\beta$ -*basic*, so one can speak of  $Z$  as a *local basic vector field*. We sometimes drop the word “local.” Let  $i(W)$  and  $\theta(W)$  denote the interior product and the Lie derivative with respect to a vector field  $W$ . A differential form  $\phi$  is called *basic* provided  $i(W)\phi = 0$  and  $\theta(W)\phi = 0$  for all vertical vector fields  $W$  ([37], p. 118). We follow the conventions of [1] for the formalism of differential forms and their exterior derivatives. Observe, if  $\phi$  is basic of degree  $q$ , where  $q$  is the codimension of  $\mathbf{F}$ , then  $\phi$  is closed.

$D$  will denote the Levi–Civita connection on  $M$  and, following [15], we introduce the tensors  $T$  and  $A$  as follows. For vector fields  $E$  and  $F$  on  $M$ ,

$$(1.1) \quad T_E F = \mathcal{V}D_{\mathcal{V}E}\mathcal{H}F + \mathcal{H}D_{\mathcal{V}E}\mathcal{V}F, \quad \text{and}$$

$$(1.2) \quad A_E F = \mathcal{V}D_{\mathcal{H}E}\mathcal{H}F + \mathcal{H}D_{\mathcal{H}E}\mathcal{V}F.$$

Then  $T$  and  $A$  are tensors of type  $(1, 2)$ . These tensors satisfy the usual properties outlined in [15]. We note that if  $X$  and  $Y$  are horizontal,

$$(1.3) \quad A_X Y \neq -A_Y X, \quad \text{in general,}$$

unless the foliation  $\mathbf{F}$  is *bundle-like* with respect to the metric  $g$  (see [21], Lemma (1.2)) that is, if  $X$  is a basic vector field,  $Wg(X, X) = 0$  for every vertical vector field  $W$ . Note this means that the defining submersions  $f_\alpha$  of the foliation  $\mathbf{F}$  above are *Riemannian submersions* in the sense of [29].

If  $\{V_1, V_2, V_3, \dots, V_p\}$  is a local orthonormal frame tangent to the foliation, we define the *mean curvature one-form*  $\kappa$  as follows:

$$(1.4) \quad \kappa(E) = \sum_{i=1}^p g(E, T_{V_i} V_i) = g(E, \sum_{i=1}^p T_{V_i} V_i).$$

Here  $\tau = \sum_{i=1}^p T_{V_i} V_i$  is the mean-curvature vector field of the leaves of  $\mathbf{F}$ . (Following the now standard practice in foliations ([37, 38]), we suppress the factor  $(1/p)$ .) Call  $\kappa$  *horizontally closed* if  $d\kappa(Z_1, Z_2) = 0$  for any horizontal fields  $Z_1, Z_2$ .

Following [37], page 65–66, let  $\chi_{\mathbf{F}}$  denote the characteristic form for the foliation  $\mathbf{F}$ . Then with  $\{V_1, \dots, V_p\}$  as above and for vector fields  $\{E_1, \dots, E_p\}$  on

$M^n$ , we have:

$$(1.5) \quad \chi_{\mathbf{F}}(E_1, E_2, \dots, E_p) = \det(g(E_i, V_j)).$$

This characteristic differential form (see [38], p. 37) is independent of the local orthonormal frame,  $\{V_1, \dots, V_p\}$ . When restricted to a leaf of  $\mathbf{F}$ ,  $\chi_{\mathbf{F}}$  represents the canonical volume form for that leaf, as observed on page 37 of [38]. If any one of the arguments  $E_i$  is horizontal, then the left hand side of (1.5) vanishes. This fact will be used repeatedly in the computations below.

A foliation  $\mathbf{F}$  is *taut* provided there exists a Riemannian metric  $g$  on  $M$  for so that all of the leaves of  $\mathbf{F}$  are minimal submanifolds of  $M$ . The foliation,  $\mathbf{F}$ , on  $(M, g)$  is then called a *minimal foliation* as in [4] and [39]. A minimal foliation is also called a *harmonic foliation* by some authors (see [38], p. 27 or [6], p. 261).

LEMMA 1.1.

- (a) Let  $(M^n, g)$  be a connected, oriented,  $C^\infty$  Riemannian  $n$ -manifold with a transversely oriented codimension- $q$  foliation  $\mathbf{F}$ , with  $q \geq 2$ . Suppose  $X$  and  $Y$  are basic vector fields. Then  $\mathcal{V}[X, Y]$  has vanishing leaf divergence if and only if  $\kappa$  is horizontally closed.
- (b) Let  $\mathbf{F}$  be a transversely oriented codimension-2 foliation on a closed, oriented, Riemannian manifold  $(M^n, g)$  which admits a transverse volume form  $\mu$ . Let  $\{X, Y\}$  be a pair of basic vector fields so  $\mu(X, Y) = 1$  and consider the globally defined vector field  $\mathcal{V}[X, Y]$  on  $M^n$ . Then  $\text{div}_{\mathbf{F}} \mathcal{V}[X, Y] = \text{div}_M \mathcal{V}[X, Y]$ . Hence in this case, if  $\kappa$  is horizontally closed,  $\text{div}_M \mathcal{V}[X, Y] = 0$ .

PROOF. (a) As noted in [3], (a) follows immediately from formula (3) of [15] which can be expressed this way:

$$(1.6) \quad d\kappa(X, Y) = -\text{div}_{\mathbf{F}} \mathcal{V}[X, Y] = -\sum_{i=1}^p g(D_{V_i} \mathcal{V}[X, Y], V_i),$$

where the right hand side denotes the divergence of  $\mathcal{V}[X, Y]$  along a leaf of  $\mathbf{F}$ . The proof of (b) goes this way. Let  $\{X_1, X_2\}$  be two orthonormal horizontal vector fields (not necessarily basic, since the foliation  $\mathbf{F}$  is not necessarily bundle-like with respect to  $g$ ). As Grant Cairns pointed out in his thesis [5], if  $\{X, Y\}$  are two basic vector fields so  $\mu(X, Y) = 1$ , then  $\mathcal{V}[X, Y]$  is a globally defined vector field on  $M$ , independent of the basic pair  $\{X', Y'\}$  so  $\mu(X', Y') = 1$ . Thus,  $\mathcal{V}[X, Y] = \mathcal{V}[X', Y']$  for any two such pairs of basic vector fields because any element of  $SL(2, \mathbf{R})$  has determinant 1. If  $\mu$  is basic, then  $\mu$  is closed, because  $\mu$  is of degree  $q = 2$  as observed above. Then  $\kappa^\perp = 0$  by Theorem 6.32 on page 71 of [37], so the vector field dual to  $\kappa^\perp$ ,

$\tau^\perp = \sum_{i=1}^2 A_{X_i} X_i = 0$ . Using the properties of the tensor  $A$  (but *not* the alternating property, that is,  $A_X Y = -A_Y X$  for horizontal  $X, Y$ ), it follows that  $\sum_{i=1}^2 g(D_{X_i} \mathcal{V}[X, Y], X_i) = 0$ , so  $\operatorname{div}_{\mathbf{F}} \mathcal{V}[X, Y] = \operatorname{div}_M \mathcal{V}[X, Y]$ , as claimed. The rest of the lemma follows from part (a).  $\square$

The form  $\kappa \wedge \chi_{\mathbf{F}}$  plays an important role in the work of Kamber and Tondeur on Riemannian foliations ([37], pp. 121 and 152, [38], pp. 39 and 82). It turns out that when this form is closed, the following pleasant property obtains for *arbitrary* foliations on Riemannian manifolds of codimension  $q \geq 2$ . The result proven in [3] illustrates once more the tie between cohomology and geometry. Indeed, the form  $\kappa \wedge \chi_{\mathbf{F}}$  will play a role in Theorems 1.5, 1.7, 2.1 and 2.2, so one can think of the form  $\kappa \wedge \chi_{\mathbf{F}}$  as the differential form that keeps on giving.

**THEOREM 1.2.** *Let  $(M^n, g)$  be a closed, connected, oriented,  $C^\infty$  Riemannian  $n$ -manifold with a transversely oriented codimension- $q$  foliation  $\mathbf{F}$ . Suppose  $X$  and  $Y$  are basic vector fields. Then  $\mathcal{V}[X, Y]$  has vanishing leaf divergence (equivalently  $\kappa$  is horizontally closed) whenever  $\kappa \wedge \chi_{\mathbf{F}}$  is a closed (possibly zero) de Rham cohomology  $(p+1)$ -form. In fact, if the codimension of  $\mathbf{F}$ ,  $q = 2$ , then  $\kappa$  is horizontally closed if and only if  $\kappa \wedge \chi_{\mathbf{F}}$  is closed.*

The key formula developed in [3] is

$$(1.7) \quad d(\kappa \wedge \chi_{\mathbf{F}})(V_1, V_2, \dots, V_p, X, Y) = d\kappa(X, Y).$$

This means in the case of Lemma 1.1 (b),

$$(1.8) \quad d(\kappa \wedge \chi_{\mathbf{F}})(V_1, V_2, \dots, V_p, X, Y) = d\kappa(X, Y) = -\operatorname{div}_{\mathbf{F}} \mathcal{V}[X, Y] = -\operatorname{div}_M \mathcal{V}[X, Y].$$

The following simple result may be useful. It holds for *any* foliation on a Riemannian manifold with codimension  $q \geq 1$ .

**PROPOSITION 1.3.** *Let  $(M^n, g)$  be any oriented Riemannian manifold that admits a foliation  $\mathbf{F}$  with mean curvature one-form,  $\kappa$ . Then  $\kappa$  is closed provided  $\kappa$  is basic and  $\kappa \wedge \chi_{\mathbf{F}}$  is closed. If the codimension of the foliation is 2,  $\kappa$  is closed if and only if  $\kappa$  is basic and  $\kappa \wedge \chi_{\mathbf{F}}$  is closed.*

**PROOF.** If  $X$  is basic and  $V$  is vertical, then  $\kappa$  is basic if and only if  $d\kappa(X, V) = 0$  as pointed out in [15]. If  $\kappa \wedge \chi_{\mathbf{F}}$  is closed, then  $d\kappa(X, Y) = 0$  for basic  $\{X, Y\}$ , by 1.7. The proof in the special case when  $q = 1$  is easy and hence omitted.  $\square$

For most of the rest of this paper we assume the foliation,  $\mathbf{F}$ , has codimension  $q = 2$  and that  $\mathbf{F}$  admits a basic transverse volume form  $\mu$ . Note, the condition that  $\mu$  is basic is weaker than the condition that the foliation  $\mathbf{F}$  be bundle-like with respect to the Riemannian metric,  $g$ , since when the metric is

bundle-like, the transverse volume form can be computed explicitly in terms of the metric. Later, we will sketch how this is done.

Let  $\beta$  be the one-form on  $M$  dual to  $\mathcal{V}[X, Y]$  with respect to the metric  $g$ . Then for any vector field  $E$  on  $M$ ,

$$(1.9) \quad \beta(E) = g(\mathcal{V}[X, Y], E).$$

Note,  $\beta$  is independent of the choice of basic vector fields  $\{X, Y\}$  so that  $\mu(X, Y) = 1$ .

The following property of  $\beta$  is as elementary as it is striking.

**PROPOSITION 1.4.** *Let  $\mathbf{F}$  be a transversely oriented codimension-2 foliation on a closed, oriented, Riemannian manifold  $(M^n, g)$  which admits a basic transverse volume form  $\mu$ . Then  $\beta$  is co-closed on each of the leaves of  $\mathbf{F}$  or on  $M$  if and only if  $\kappa \wedge \chi_{\mathbf{F}}$  is closed on  $M$ .*

**REMARK.** This means that the existence of a cohomology  $(p+1)$  form, namely  $\kappa \wedge \chi_{\mathbf{F}}$ , encodes the co-closedness of  $\beta$ .

**PROOF.**  $\beta$  is co-closed on the leaves of  $\mathbf{F}$  provided its dual vector field has zero divergence along a leaf, that is provided  $-\text{div}_{\mathbf{F}}\mathcal{V}[X, Y] = 0$  by [33], page 168. Likewise,  $\beta$  is co-closed on  $M$ , provided  $-\text{div}_M\mathcal{V}[X, Y] = 0$ . Each of these occurs if and only if  $\kappa \wedge \chi_{\mathbf{F}}$  is closed by equation (1.8). Specifically, if  $\delta\beta$  denotes the codifferential of  $\beta$ , then

$$(1.10) \quad \begin{aligned} d(\kappa \wedge \chi_{\mathbf{F}})(V_1, V_2, \dots, V_p, X, Y) &= d\kappa(X, Y) = -\text{div}_{\mathbf{F}}\mathcal{V}[X, Y] \\ &= -\text{div}_M\mathcal{V}[X, Y] = \delta\beta. \end{aligned}$$

□

We now come to one of the main result of the paper, Theorem 1.5. Note, in this section, we do *not* assume that  $\mathbf{F}$  is bundle like with respect to the metric  $g$ . The result appears to be pleasant because three key conditions force the conclusion: two involve cohomology and one involves Ricci curvature. Note, if  $\beta$  itself is closed then, for  $\{X, Y\}$ , above,  $d\beta(X, Y) = -g(\mathcal{V}[X, Y], \mathcal{V}[X, Y]) = 0$ , and  $\mathbf{H}$  is integrable. A weaker condition which only requires that the pullback of  $\beta$  to the leaves of  $\mathbf{F}$  be closed, suffices to establish the integrability of  $\mathbf{H}$  in the presence of appropriate conditions ( and one hopes pleasing conditions) on the Ricci curvature of the leaves of  $\mathbf{F}$ .

Recall the Ricci curvature of a manifold is *quasi-positive* provided it is positive semi-definite everywhere and positive definite at a point. The Ricci curvature is *quasi-negative* on a manifold if it is negative semi-definite everywhere negative definite at a point. The results below can be viewed as a companion to results of earlier papers, like [12, 13] and [7]. The author learned about quasi-positive Ricci curvature and quasi-negative Ricci curvature from Wu's article [40], and

the special beauty of codimension-2 foliations admitting a basic transverse volume form from [5]. He became interested in  $\kappa \wedge \chi_{\mathbf{F}}$  as a result of [38]. Other authors have looked at minimal foliations and Ricci curvature and have obtained beautiful results like those of [4] and [39]. The interested reader might also profit from [26, 28] and [20]. Related but different results that recently came to the author's attention appear in [9].

If  $L$  is a leaf of  $\mathbf{F}$ , then  $i_L : L \rightarrow M$  denotes the inclusion map.  $i_L(L)$  is always an *immersed submanifold* of  $M$ , following Definition 3.7.7 of [10], page 93 (see also page 18). If  $i_L$  is a homeomorphism from  $L$  onto  $i_L(L)$ , then  $i_L(L)$  is called an *imbedded submanifold* of  $M$  as in [10].

REMARK. The next two results highlight the utility of having all the leaves of the codimension-2 foliation  $\mathbf{F}$  possess either quasi-positive or quasi-negative Ricci curvature.

THEOREM 1.5. *Let  $\mathbf{F}$  be a transversely oriented codimension-2 foliation on a closed, oriented, Riemannian manifold  $(M^n, g)$  which admits a basic transverse volume form  $\mu$ . Suppose the following two conditions obtain.*

- (i) *Restricted to each leaf  $L$  of  $\mathbf{F}$ ,  $\beta$  is a closed one-form, that is,  $i_L^* \beta$  is closed on  $L$ .*
- (ii)  *$\kappa \wedge \chi_{\mathbf{F}}$  is closed on  $M$ .*

*If the Ricci curvature of each leaf  $L$  of  $\mathbf{F}$ ,  $Ric_L$ , is quasi-positive on  $L$ , then  $\mathbf{H}$  is integrable and the leaves of  $\mathbf{H}$  are minimal surfaces of  $M$ .*

PROOF. Set  $f = (1/2)g(\mathcal{V}[X, Y], \mathcal{V}[X, Y])$ . Then  $f$  attains a maximum at some  $p \in M$ , since  $M$  is compact. Let  $L$  be the leaf of  $\mathbf{F}$  containing  $p \in M$ . Now  $\beta$  is closed on  $L$  by (i) and is co-closed on  $L$  by (ii) and Proposition 1.4. Since the gradient of  $div_L \mathcal{V}[X, Y]$  ( $div_{\mathbf{F}} \mathcal{V}[X, Y]$ ) is zero by formula (1.9), one of the terms in part 3 of Proposition 3.3 on page 175 of [31] vanishes. Observe that  $\nabla$  in [31] is replaced below by  $\tilde{D}$ , the covariant derivative on  $L$  induced from  $D$  on  $M$ . Then that formula of [31] yields the following equation for the Laplacian of  $f$  on  $L$ ,  $\Delta_L f$ .

$$(1.11) \quad \Delta_L f = |\tilde{D}\mathcal{V}[X, Y]|_L^2 + Ric_L(\mathcal{V}[X, Y], \mathcal{V}[X, Y]) \geq 0.$$

Thus,  $f$  is subharmonic on  $L$ . This means  $f$  is constant on  $L$  by the maximum principle of E. Hopf. (A nice proof of this principle appears in Matsushima [25], pages 296–299). Hence,  $\Delta_L f \equiv 0$ . If  $f \not\equiv 0$  on  $L$ , then by the above  $f \equiv c > 0$  on  $L$ . Then at the  $x \in L$  where  $Ric_L$  is positive definite,  $\Delta_L f > 0$ , which is a contradiction. Hence,  $f \equiv 0$  on  $L$ , and, in particular,  $f(p) = 0$ . Since  $f$  attained its maximum on  $M$  at  $p \in L$ ,  $f \equiv 0$  on  $M$ . Hence by definition of  $f$ ,  $\mathcal{V}[X, Y] \equiv 0$  on  $M$  and  $\mathbf{H}$  is integrable by the Frobenius Theorem. As observed earlier, since  $\mu$  is basic and of degree  $q = 2$ ,  $\mu$  is closed. Hence, by

Theorem 6.32 on page 71 of [37], the leaves of  $\mathbf{H}$  are minimal surfaces of  $M$ , since then  $\kappa^\perp = 0$ , and in our context  $\kappa^\perp$  is the mean curvature one-form of our now integrable  $\mathbf{H}$ . This proves the Theorem 1.5.  $\square$

EXAMPLE.

- (a) For  $n \geq 4$ ,  $S^{n-2} \times T^2$ , the direct product of the  $(n-2)$  sphere of radius 1 and a flat 2-torus, illustrates Theorem 1.5.
- (b) Our second application of Theorem 1.5 is somewhat surprising. Unhappily, it seems only to work for certain principal bundles over connected, closed surfaces.

Let  $G$  be a Lie group admitting a biinvariant metric  $\langle \cdot, \cdot \rangle$  which unlike [19] we require to be positive definite. Then by section 5 of [19], the principal bundle,  $G \rightarrow P \rightarrow B$  with bundle map  $\pi : P \rightarrow B$  has the structure of a Riemannian submersion. The leaves of the foliation are the inverse images  $\pi^{-1}(b)$  for  $b \in B$ . As above,  $\mathbf{V}$  is the distribution tangent to the leaves of the foliation, and  $\mathbf{H}$ , the distribution orthogonal to  $\mathbf{V}$  induced by the submersion metric. This metric on  $P$  Gray calls the *natural metric*, denoted by  $\langle E, F \rangle$  for vector fields  $E$  and  $F$  on  $P$ . We will call the connection  $\mathbf{H}$  on  $P$ , the *Gray connection*. Recall from [22], page 51, a vertical vector field  $A^*$  on a principal bundle  $P$  is called a *fundamental vector field* provided  $A^* = \sigma(A)$  where  $A \in \mathfrak{g}$  where  $\mathfrak{g}$  is the Lie algebra of  $G$  and  $\sigma$  is the homomorphism from  $\mathfrak{g}$  to  $\chi(P)$ , the Lie algebra of vector fields on  $P$ . According to Corollary 7.7 of [26], a Lie group with compact universal covering admits a biinvariant metric of constant Ricci curvature 1. When  $G$  is a Lie Group with this structure we will say the Lie group  $G$  is of *special Milnor type*.

COROLLARY 1.6. *Let  $B$ , be a connected, closed oriented surface, and let  $G \rightarrow P \rightarrow B$  be a principal bundle over  $B$  where  $G$  is a Lie group of special Milnor type above. Then the Gray connection  $\mathbf{H}$  determined by its natural metric is flat if and only if for any two local orthonormal basic vector fields  $\{X, Y\}$ , the globally defined vector field  $\mathcal{V}[X, Y]$  is a fundamental vector field on  $P$ .*

PROOF. It is easy to see that  $P$  is connected. The connection  $\mathbf{H}$  is flat if and only if  $\Omega(X, Y) = 0$  for any basic orthonormal pair  $\{X, Y\}$ , where  $\Omega$  is the curvature of the connection. By (5.6) of [19] this occurs if and only if in our notation above,  $A_X Y = (1/2)\mathcal{V}[X, Y] = 0$ . Now the zero vector field is a fundamental vector field so the condition on  $\mathcal{V}[X, Y]$  is necessary. We need to show that the condition is sufficient. This will be the case if we can apply Theorem 1.5. We need to show that restricted to a leaf of the foliation by the fibers of  $\pi$ ,  $\beta$ , the one form dual to  $\mathcal{V}[X, Y]$  is closed, that is if  $i_L^* \beta$  is closed. As noted on page 167 of [33], this will occur when given fundamental vector

fields  $A^*$  and  $B^*$  on  $P$ , one has:

$$(1.12) \quad \langle D_{A^*} \mathcal{V}[X, Y], B^* \rangle - \langle A^*, D_{B^*} \mathcal{V}[X, Y] \rangle = 0,$$

where  $D$  is the Levi-Civita connection on the leaf of  $\pi$ . Note, since  $T = 0$  by equation (5.2) of [19], the induced connection on the leaves of  $\pi$  and the connection  $D$  on  $P$  coincide. But then, by equation (5.3) of [19],  $D_{A^*} B^* = (1/2)[A^*, B^*]$ . If  $X$  is basic and  $A^*$  is a fundamental vector field, then  $[X, A^*] = 0$ , as pointed out in (5.1) of [19]. Moreover, the Jacobi identity for vector fields, yields that if  $X$  and  $Y$  are basic, then  $[[X, Y], A^*] = 0$ . In fact, for Riemannian submersions,  $\mathcal{H}[X, Y]$  is basic when  $X$  and  $Y$  are basic [29], so  $[\mathcal{H}[X, Y], A^*] = 0$ . It follows easily that  $[\mathcal{V}[X, Y], A^*] = 0$ . Thus, if  $\mathcal{V}[X, Y]$  is a fundamental vector field, one can exploit equation (1.12) above and  $i_L^* \beta$  is indeed closed.

Since, as already noted,  $T = 0$ ,  $\kappa \wedge \chi_{\mathbf{F}}$  vanishes on  $M$  by equation (1.4) above. Theorem 1.5 above applies. Thus,  $\mathbf{H}$  is integrable, that is,  $\mathcal{V}[X, Y] = 0$  and the Gray connection on  $P$  is flat. Pages 304–305 of [30] is a nice companion to [19].  $\square$

Recall a foliation  $\mathbf{F}$  on a Riemannian manifold  $(M^n, g)$  is *totally umbilic* provided there exists a horizontal vector field  $N$  so that for all vectors  $\{U, V\}$  tangent to the leaves of  $\mathbf{F}$  one has  $T_U V = g(U, V)N$ , where  $T$  is the tensor defined in (1.1) above. If  $\{X, Y\}$  are basic vector fields then for a totally umbilic foliation of leaf dimension  $p$ , one has by a formula on page 59 of [7] that  $(\theta(\mathcal{V}[X, Y])g)(U, V) = -(2/p)d\kappa(X, Y)g(U, V)$ . If  $\kappa$  is horizontally closed, then  $\mathcal{V}[X, Y]$  is an infinitesimal isometry along each leaf. But by (1.7), this occurs whenever  $\kappa \wedge \chi_{\mathbf{F}}$  is closed. Note, for totally umbilic foliations,  $\tau = pN$ , where  $\tau$  is the mean curvature vector field of  $\mathbf{F}$ . When the leaf dimension  $p = n - 2$  and when the transverse volume form  $\mu$  is basic, one has the following improvement of part of Theorem 3.3 of [7].

**THEOREM 1.7.** *Let  $\mathbf{F}$  be a transversely oriented codimension-2 foliation with totally umbilic leaves on a closed, oriented, Riemannian manifold  $(M^n, g)$  which admits a basic transverse volume form  $\mu$ . Suppose  $\kappa \wedge \chi_{\mathbf{F}}$  is closed on  $M$ .*

*If the Ricci curvature of each leaf  $L$  of  $\mathbf{F}$ ,  $\text{Ric}_L$ , is quasi-negative on  $L$ , then  $\mathbf{H}$  is integrable and the leaves of  $\mathbf{H}$  are minimal surfaces of  $M$ .*

**PROOF.** From the remarks before the statement of the theorem, under the stated conditions  $\mathcal{V}[X, Y]$  is a local infinitesimal isometry of the leaves of  $\mathbf{F}$ . When  $\mu$  is basic, the codimension  $q = 2$ , and  $\{X, Y\}$  are basic vector fields so  $\mu(X, Y) = 1$ , then  $\mathcal{V}[X, Y]$  is a *global* infinitesimal isometry for each of the leaves of  $\mathbf{F}$ . Now the proof of Theorem 3.3 of [7] yields that  $\mathbf{H}$  is integrable.



As before, the leaves of  $\mathbf{H}$  are minimal surfaces of  $M$  thanks to Theorem 6.32 on page 71 of [37].  $\square$

REMARKS. In our formalism (see [31], page 166), formula (\*\*) on page 60 of [7] reads:

$$(1.13) \quad \triangle_L f = |\tilde{D}\mathcal{V}[X, Y]|_L^2 - \text{Ric}_L(\mathcal{V}[X, Y], \mathcal{V}[X, Y]) \geq 0,$$

where the function  $f$  is given by  $f = (1/2)g(\mathcal{V}[X, Y], \mathcal{V}[X, Y])$ . The equation (\*\*) on page 60 of [7] incorrectly omits the factor  $(1/2)$ , but the argument there goes through without any trouble. Formulas (1.11) and (1.13) go right back to Bochner himself. Remarkably, for these formulas to come into play here,  $\kappa \wedge \chi_{\mathbf{F}}$  must be closed.

**Section 2.** A foliation  $\mathbf{F}$  is a *Riemannian foliation* of leaf dimension  $p$  and codimension- $q$ , provided that there is some Riemannian metric  $g$  on  $M^n$  with respect to which  $\mathbf{F}$  is bundle-like in the sense above. If  $\mathbf{F}$  is a Riemannian foliation on a compact manifold  $M^n$ , then a fundamental result of Dominguez, [11], shows that there always exists a metric  $g$  for which  $\mathbf{F}$  is bundle-like and for which the associated mean curvature one-form,  $\kappa$ , is basic. We call this metric, a *Dominguez metric*. In this section we will assume that the original foliation  $\mathbf{F}$  is Riemannian and that the metric  $g$  chosen for  $M$  is a Dominguez metric. First note, that when  $\mathbf{F}$  is bundle-like with respect to  $g$ , it is well known that we can choose a basic *orthonormal* frame  $\{X, Y\}$  for  $\mathbf{H}$  [29], since in the bundle-like case the local submersions,  $f_\alpha$ , defining  $\mathbf{F}$  are Riemannian submersions. Now the transverse volume form  $\mu$  for  $\mathbf{F}$  can be expressed explicitly in terms of the basic components of the Dominguez metric  $g$  by [27] pages 38–39 combined with [22], page 283.

Now the results in Section 1 simplify considerably. We have the following theorems.

**THEOREM 2.1.** *Let  $\mathbf{F}$  be a transversely oriented codimension-2 Riemannian foliation leaves on a closed, oriented, Riemannian manifold  $(M^n, g)$  where  $g$  is a Dominguez metric for  $\mathbf{F}$ . Suppose further, that restricted to each leaf  $L$  of  $\mathbf{F}$ ,  $\beta$  is a closed one-form: that is,  $i_L^* \beta$  is closed on  $L$ .*

- (a) *If the Ricci curvature of each leaf  $L$  of  $\mathbf{F}$ ,  $\text{Ric}_L$ , is quasi-positive on  $L$ , then  $\mathbf{H}$  is integrable and the leaves of  $\mathbf{H}$  are totally geodesic surfaces in  $M$ . Moreover, if  $\kappa \wedge \chi_{\mathbf{F}}$  is harmonic on  $M$  with respect to the Dominguez metric, then in fact, the leaves of  $\mathbf{F}$  are minimal codimension-2 submanifolds of  $M$ .*
- (b) *If additionally, the sectional curvatures of  $M$  are non-negative, then the leaves of  $\mathbf{F}$  are necessarily totally geodesic.*

**PROOF.** The proof of (a) proceeds in this way. Since  $\kappa$  is basic and  $\mathbf{F}$  is bundle-like with respect to  $g$ ,  $\kappa$  is closed by a result of Kamber–Tondeur

(see [37], page 150). By equation (1.7) above, this means  $\kappa \wedge \chi_{\mathbf{F}}$  is closed on  $M$ . From the above remarks,  $\mu$  is basic. Then, Theorem 1.5 applies and  $\mathbf{H}$  is integrable and its leaves are minimal. In fact, the leaves of  $\mathbf{H}$  are in this case totally geodesic because when  $\mathbf{F}$  is bundle-like with respect to  $g$ , equation (1.3) becomes  $A_X Y = -A_Y X$ , for *any* horizontal vector fields  $\{X, Y\}$ . By equation (1.2),  $A_X Y$  is the second fundamental form for the leaves of  $\mathbf{H}$  when  $\mathbf{H}$  is integrable. Hence,  $A \equiv 0$  and the leaves of  $\mathbf{H}$  are not only integrable but totally geodesic. If  $\kappa \wedge \chi_{\mathbf{F}}$  is harmonic on  $M$  with respect to the Dominguez metric, then the leaves of  $\mathbf{F}$  are also minimal submanifolds of  $M$  by Theorem 1.11 of [3].

Part (b) follows from (a) and from the proposition 5.87 on page 66 of [38], which asserts that when  $\mathbf{H}$  is integrable and  $M^n$  has non-negative sectional curvatures, then the harmonicity of the leaves of  $\mathbf{F}$  force those leaves to be totally geodesic.  $\square$

**THEOREM 2.2.** *Let  $\mathbf{F}$  be a transversely oriented codimension-2 Riemannian foliation with totally umbilic leaves on a closed, oriented, Riemannian manifold  $(M^n, g)$  where  $g$  is a Dominguez metric for  $\mathbf{F}$ .*

- (a) *If the Ricci curvature of each leaf  $L$  of  $\mathbf{F}$ ,  $\text{Ric}_L$ , is quasi-negative on  $L$ , then  $\mathbf{H}$  is integrable and the leaves of  $\mathbf{H}$  are totally geodesic of  $M$ .*
- (b) *If additionally,  $\kappa \wedge \chi_{\mathbf{F}}$  is harmonic on  $M$  with respect to the Dominguez metric, then in fact, the leaves of  $\mathbf{F}$  are totally geodesic codimension-2 submanifolds of  $M$  and locally  $M$  is isometric to a product of the plaques of the leaves of  $\mathbf{H}$  and  $\mathbf{F}$ .*

**PROOF.** That  $\kappa$  is closed follows from the argument in the proof of Theorem 2.1. Then the fact that for totally umbilic foliations one has

$$(\theta(\mathcal{V}[X, Y])g)(U, V) = -(2/p)d\kappa(X, Y)g(U, V),$$

as noted in the paragraph above the statement of Theorem 1.7, yields that the globally defined  $\mathcal{V}[X, Y]$  is an infinitesimal isometry when restricted to the leaves of  $\mathbf{F}$ . As noted before the statement of Theorem 2.1, the existence of the Dominguez metric also guarantees that  $\mu$  is basic. Theorem 1.7 applies and  $\mathbf{H}$  is integrable and its leaves are minimal surfaces of  $M$ . In fact, by the argument just made in the proof of Theorem 2.1, the leaves of  $\mathbf{H}$  are totally geodesic surfaces of  $M$ . If additionally,  $\kappa \wedge \chi_{\mathbf{F}}$  is harmonic on  $M$  with respect to the Dominguez metric, then the leaves of  $\mathbf{F}$  are minimal submanifolds of  $M$  just as in the proof of Theorem 2.1.

To show part (b), observe that if  $\kappa \wedge \chi_{\mathbf{F}}$  is harmonic, then  $\kappa$  vanishes identically. Hence, the mean curvature vector field  $\tau$  vanishes. But when the leaves are totally umbilic,  $\tau = pN$ , where  $p$  is the leaf dimension and  $N$  is the normal curvature vector field. This means for arbitrary vertical vector fields  $U$  and  $V$ ,

$T_U V = g(U, V)N = 0$ , so the leaves are totally geodesic. The last part of part (b) follows from part (a) together with the argument in last paragraph of the proof of Theorem 4.1 in [12], page 338.  $\square$

REMARK. If the partial Ricci curvature of  $M$  in the sense of page 67 of [38] is negative definite at a single point, then  $\mathbf{F}$  cannot be totally geodesic, as follows from proposition 5.91 also on page 67 of [38]. This means of course that in this case  $\kappa \wedge \chi_{\mathbf{F}}$  cannot be harmonic in part (b) of Theorem 2.2.

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