doi: 10.4467/20843828AM.12.003.0455

## ON THE GRADIENT OF QUASI-HOMOGENEOUS POLYNOMIALS

## BY ALAIN HARAUX AND TIEN SON PHAM

**Résumé.** Soit  $\mathbb{K}$  le corps des réels ou des complexes et  $f: \mathbb{K}^n \to \mathbb{K}$  un polynôme quasi-homogène de poids  $w := (w_1, w_2, \ldots, w_n)$  et de degré d tel que  $\nabla f(0) = 0$ . L'inégalité bien connue dite du gradient de Lojasiewicz montre qu'il existe un voisinage ouvert U de l'origine dans  $\mathbb{K}^n$  et deux constantes positives c et  $\rho < 1$  telles que pour tout  $x \in U$  on ait  $\|\nabla f(x)\| \ge c|f(x)|^{\rho}$ . On montre que si l'ensemble  $\widetilde{K}_{\infty}(f)$  des points où la condition de Fedoryuk est en dfaut est fini, l' inégalité du gradient de Lojasiewicz est vérifiée avec  $\rho = 1 - \min_j \frac{w_j}{d}$ . On montre de plus que si n = 2, alors  $\widetilde{K}_{\infty}(f)$  est soit vide, soit réduit  $\{0\}$ .

Abstract. Let  $\mathbb{K}$  be the real or the complex field, and let  $f: \mathbb{K}^n \to \mathbb{K}$ be a quasi-homogeneous polynomial with weight  $w := (w_1, w_2, \ldots, w_n)$ and degree d. Assume that  $\nabla f(0) = 0$ . Lojasiewicz's well known gradient inequality states that there exists an open neighbourhood U of the origin in  $\mathbb{K}^n$  and two positive constants c and  $\rho < 1$  such that for any  $x \in U$  we have  $\|\nabla f(x)\| \ge c|f(x)|^{\rho}$ . We prove that if the set  $\widetilde{K}_{\infty}(f)$  of points where the Fedoryuk condition fails to hold is finite, then the gradient inequality holds true with  $\rho = 1 - \min_j \frac{w_j}{d}$ . It is also shown that if n = 2, then  $\widetilde{K}_{\infty}(f)$ is either empty or reduced to  $\{0\}$ .

1. Introduction and statement of main results. Let  $\mathbb{K}$  be the real or the complex field, and let  $f: \mathbb{K}^n \to \mathbb{K}$  be a polynomial function with f(0) = 0and  $\nabla f(0) = 0$ . According to Lojasiewicz's well known gradient inequality (see [14]), there exists an open neighbourhood U of the origin in  $\mathbb{K}^n$  and two positive constants c and  $\rho < 1$  such that for any  $x \in U$  we have

(1.1) 
$$\|\nabla f(x)\| \ge c|f(x)|^{\rho}.$$

2010 Mathematics Subject Classification. 14P10, 14P15, 32S05, 34A26.

*Key words and phrases.* Quasi-homogeneous, Lojasiewicz's gradient inequality, Lojasiewicz exponent, Fedoryuk's condition.

The Lojasiewicz gradient exponent of f at the origin, denoted by  $\rho(f)$ , is the infimum of the exponents satisfying the Lojasiewicz gradient inequality. J. Bochnak and J. J. Risler (cf. [2]) proved that  $\rho(f)$  is a rational number, cf. also [22]. Moreover, Inequality (1.1) holds with exponent  $\rho(f)$  and some constant c > 0. It is also known that (see, for example, [1, 6])  $\rho(f)$  can be bounded by some rational number < 1 depending on n and the degree of fonly.

As is often the case, a general estimate of the Lojasiewicz gradient exponent can be replaced by a much simpler one in the weighted quasi-homogeneous case. So let f be a quasi-homogeneous polynomial with weight  $w := (w_1, w_2, \ldots, w_n) \in$  $(\mathbb{N} - \{0\})^n$  and degree  $d \in \mathbb{N} - \{0\}$ ; that is (1.2)

$$f(t^{w_1}x_1, t^{w_2}x_2, \dots, t^{w_n}x_n) = t^d f(x_1, x_2, \dots, x_n) \text{ for all } x \in \mathbb{K}^n \text{ and } t > 0.$$

Let  $w^* := \max_{j=1,2,\dots,n} w_j$  and  $w_* := \min_{j=1,2,\dots,n} w_j$ . Assume that  $\nabla f(0) = 0$ . It was proven in  $[\mathbf{8}, \mathbf{9}]$  that  $\rho(f) \ge 1 - \frac{w^*}{d}$  and in the case n = 2 and  $\mathbb{K} = \mathbb{R}$  we have  $\rho(f) \le 1 - \frac{w_*}{d}$ . In particular, if f is a homogeneous polynomial in two real variables then  $\rho(f) = 1 - \frac{1}{d}$ .

In the present note we generalize this result to quasi-homogeneous polynomial functions  $f \colon \mathbb{K}^n \to \mathbb{K}$  with the property that the set  $\widetilde{K}_{\infty}(f)$  of points where the Fedoryuk condition fails to hold is finite. More precisely, for any polynomial  $f \colon \mathbb{K}^n \to \mathbb{K}$ , we let

$$\widetilde{K}_{\infty}(f) := \{ \lambda \in \mathbb{K} \mid \exists x^k \to \infty, f(x^k) \to \lambda \text{ and } \|\nabla f(x^k)\| \to 0 \}.$$

If  $\lambda \notin \tilde{K}_{\infty}(f)$ , then we say that f satisfies *Fedoryuk's condition* at  $\lambda$ . We see that this condition restricts the asymptotic behavior of  $\nabla f(x)$  as  $||x|| \to \infty$  and  $f(x) \to \lambda$ . The set  $\tilde{K}_{\infty}(f)$  has been studied by many authors; see, for instance, [3, 4, 7, 10, 11, 13, 15, 16, 18, 19, 20, 21].

Our main result is

THEOREM 1.1. Let  $f: \mathbb{K}^n \to \mathbb{K}$  be a quasi-homogeneous polynomial with weight  $w := (w_1, w_2, \ldots, w_n)$  and degree d > 1. If the set  $\widetilde{K}_{\infty}(f)$  is finite, then  $\rho(f) \leq 1 - \frac{w_*}{d}$ .

REMARK 1.2. (i) Let us note that [10] for n = 1 and n = 2 the set  $\widetilde{K}_{\infty}(f)$  is always finite (see also Section 3 below).

- (ii) In [11], Z. Jelonek showed that the number of points of the set  $K_0(f) \cup \widetilde{K}_{\infty}(f)$  is less than or equal to  $(\deg f 1)^n$  provided that  $\#\widetilde{K}_{\infty}(f) < \infty$ , where  $K_0(f)$  denotes the set of critical values of f.
- (iii) As we will see in the next example, the converse of Theorem 1.1 does not hold: There exist quasi-homogeneous polynomials for which  $\rho(f) \leq 1 - \frac{w_*}{d}$ and the set  $\widetilde{K}_{\infty}(f)$  is infinite.

EXAMPLE 1.3. Let  $f(x, y, z) := x^2y - xz \in \mathbb{K}[x, y, z]$ . Then f is a quasihomogeneous polynomial with weight w := (1, 1, 2) and degree d := 3. Define the curve

$$\varphi \colon (0,1) \to \mathbb{C}^3, \quad \tau \mapsto (\tau, \tau^{-2}, 2\tau^{-1})$$

We have then

$$\lim_{\tau \to 0} \|\varphi(\tau)\| = \infty, \quad \lim_{\tau \to 0} f(\varphi(\tau)) = -1, \quad \lim_{\tau \to 0} \|\nabla f(\varphi(\tau))\| = 0.$$

Hence,  $-1 \in \widetilde{K}_{\infty}(f)$ . By virtue of the quasi-homogeneity of the polynomial f, we find that  $\widetilde{K}_{\infty}(f) = \mathbb{K}$ .

On the other hand, by the definition,

$$\nabla f(x, y, z) = (2xy - z, x^2, -x)$$

Hence with  $c = 2^{-1/2} > 0$  we have

$$\|\nabla f(x, y, z)\| \ge c(|2xy - z| + |x|),$$

while

$$|f(x,y,z)| = |x^2y - xz| \le |2x^2y - xz| + |x|^2 \le |2xy - z|^2 + 2|x|^2,$$

whenever  $|y| \leq 1$ . Thus

(1.3) 
$$|f(x,y,z)| \leq 2(|2xy-z|+|x|)^2 \leq (2/c^2) ||\nabla f(x,y,z)||^2,$$

whenever  $|y| \leq 1$ . In particular,  $\rho(f) \leq \frac{1}{2}$ .

On the other hand

$$f(x,0,x) = -x^2, \quad \nabla f(x,0,x) = (-x,x^2,-x).$$

Hence Inequality (1.3) is sharp; so the polynomial f satisfies the Lojasiewicz gradient inequality for the exponent  $\rho(f) = \frac{1}{2} < 1 - \frac{1}{d}$ .

However, we have

COROLLARY 1.4. Let  $f : \mathbb{K}^n \to \mathbb{K}$  be a homogeneous polynomial of degree d > 1. Then the following conditions are equivalent

- (i)  $\widetilde{K}_{\infty}(f)$  is either empty or reduced to  $\{0\}$ .
- (ii)  $\widetilde{K}_{\infty}(f)$  is finite.
- (*iii*)  $\rho(f) = 1 \frac{1}{d}$ .
- (iv) There exists a positive constant c such that

$$\|\nabla f(x)\| \ge c \|f(x)\|^{1-\frac{1}{d}} \quad for \ all \quad x \in \mathbb{K}^n.$$

- (v) The polynomial f(x) is bounded on the set  $\{x \in \mathbb{K}^n \mid ||\nabla f(x)|| \leq 1\}$ .
- (vi)  $\nabla f$  and f are separated at infinity, which means that there exist c, R > 0and  $q \in \mathbb{R}$  such that if  $|f(x)| \ge R$  then  $||\nabla f(x)|| \ge c|f(x)|^q$ .

REMARK 1.5. Let  $\mathbb{K} := \mathbb{C}$ . It follows from the results of J. Gwoździewicz and A. Płoski [5] that each of conditions (i)–(vi) is equivalent to the following condition:

(vii) The polynomial f is integral over the algebra  $\mathbb{C}[\frac{\partial f}{\partial x_1}, \ldots, \frac{\partial f}{\partial x_n}]$ , which means that there exits a polynomial  $P \in \mathbb{C}[y_1, y_2, \ldots, y_{n+1}]$  monic with respect to  $y_1$  such that

$$P\left(f(x), \frac{\partial f}{\partial x_1}(x), \dots, \frac{\partial f}{\partial x_n}(x)\right) \equiv 0.$$

It should be noticed that, in his paper [21], S. Spodzieja proved that one can take  $q = -d(d-1)^{n-1}$  in condition (vi). On the other hand, by Corollary 1.4 (iv), we may put  $q = 1 - \frac{1}{d}$ , which is the best (largest) possible value of q in the condition of separation at infinity (vi). One may consult, for example, [17] for more details about the problem of separation at infinity of arbitrary complex polynomial mappings.

REMARK 1.6. Let  $f: \mathbb{C}^n \to \mathbb{C}$  be a homogeneous polynomial such that the hypersurface f(x) = 0 in the projective space  $\mathbb{CP}^{n-1}$  has ordinary singularities only (see [5] for exact definitions). Then, by the results of J. Gwoździewicz and A. Płoski [5], the set  $\widetilde{K}_{\infty}(f)$  is finite. On the other hand, in general, as we will see in the next example, there exist homogeneous polynomials for which the set  $\widetilde{K}_{\infty}(f)$  is infinite.

EXAMPLE 1.7. [8, Remark 2.4] Consider the homogeneous polynomial  $f(x, y, z) := x^2y - xz^2 \in \mathbb{K}[x, y, z]$  and define the curve

$$\varphi \colon (0,1) \to \mathbb{C}^3, \quad \tau \mapsto (\tau^2, \frac{1}{2}\tau^{-4}, \tau^{-1}).$$

We have then

$$\lim_{\tau \to 0} \|\varphi(\tau)\| = \infty, \quad \lim_{\tau \to 0} f(\varphi(\tau)) = -\frac{1}{2}, \quad \lim_{\tau \to 0} \|\nabla f(\varphi(\tau))\| = 0.$$

Hence,  $-\frac{1}{2} \in \widetilde{K}_{\infty}(f)$ . By virtue of the homogeneity of the polynomial f, we find that  $\widetilde{K}_{\infty}(f) = \mathbb{K}$ . Together with Corollary 1.4, this implies that the polynomial f does not satisfy the Lojasiewicz gradient inequality for the exponent  $1 - \frac{1}{d}$ .

The paper is organized as follows. The proof of the results mentioned above will occupy Section 2. In Section 3 we present a simple elementary proof of the following result: If  $f : \mathbb{K}^2 \to \mathbb{K}$  is a quasi-homogeneous polynomial then the set  $\widetilde{K}_{\infty}(f)$  is either empty or reduced to  $\{0\}$ .

**2. Proof of the main result.** In the sequel for t > 0, for any  $w := (w_1, w_2, \ldots, w_n) \in (\mathbb{N} - \{0\})^n$  and  $x := (x_1, x_2, \ldots, x_n) \in \mathbb{K}^n$  we denote

$$t \bullet x := (t^{w_1}x_1, t^{w_2}x_2, \dots, t^{w_n}x_n)$$

Let  $f: \mathbb{K}^n \to \mathbb{K}, x \mapsto f(x)$ , be a quasi-homogeneous polynomial function with weight w and degree d > 1. Consider the polynomial function  $g: \mathbb{K}^n \times \mathbb{K}^m \to \mathbb{K}, (x, y) \mapsto f(x)$ . Then it follows from definitions that for m > 0

$$\rho(f) = \rho(g), \quad K_0(f) = K_0(g), \text{ and } \widetilde{K}_{\infty}(f) \cup K_0(f) = \widetilde{K}_{\infty}(g).$$

Hence, in the sequel, we may without loss of generality assume that the function f really depends on all the variables. In this case, it is easy to check that d is uniquely defined by (1.2), and, in particular, we have  $d \ge w^*$ .

PROPOSITION 2.1. Under the above conventions, the set  $K_0(f)$  of critical values of f is either empty or reduced to  $\{0\}$ . Moreover, the set  $\widetilde{K}_{\infty}(f)$  is finite if and only if it is either empty or reduced to  $\{0\}$ .

**PROOF.** By the assumption, we have

$$f(t \bullet x) = t^d f(x)$$
 for all  $x \in \mathbb{K}^n$  and for  $t > 0$ .

Differentiating  $f(t \bullet x)$  with respect to the variable t yields

$$dt^{d-1}f(x) = \sum_{j=1}^{n} w_j t^{w_j - 1} x_j \frac{\partial f}{\partial x_j} (t \bullet x).$$

In particular, we have the generalized Euler identity

$$df(x) = \sum_{j=1}^{n} w_j x_j \frac{\partial f}{\partial x_j}(x).$$

As an immediate corollary, the first assertion follows easily.

Moreover, it is worth noting that the polynomial  $\frac{\partial f}{\partial x_j}$  is quasi-homogeneous with weight w and degree  $d - w_j$ . Together with the assumption, this proves the second assertion.

PROOF OF THEOREM 1.1. It follows from the assumptions and Proposition 2.1 that each of the the sets  $K_0(f)$  and  $\widetilde{K}_{\infty}(f)$  is either empty or reduced to  $\{0\}$ . As a corollary,

$$K_0(f) \cap \mathbb{S} = \emptyset$$
 and  $K_{\infty}(f) \cap \mathbb{S} = \emptyset$ ,

here  $\mathbb{S} := \{\lambda \in \mathbb{K} \mid |\lambda| = 1\}.$ Put

$$c := \inf_{x \in f^{-1}(\mathbb{S})} \|\nabla f(x)\| < \infty.$$

We first show that c > 0. Indeed, by contradiction, assume that c = 0. This means that there is a sequence of points  $x^k \in \mathbb{K}^n$  such that  $f(x^k) \in \mathbb{S}$  and  $\|\nabla f(x^k)\| \to 0.$ 

If a sequence  $x^k$  is bounded, then there is a subsequence  $x^{k_j} \to x^0$ . We have

$$f(x^0) \in \mathbb{S}$$
 and  $\|\nabla f(x^0)\| = 0.$ 

This implies that  $f(x^0) \in K_0(f) \cap \mathbb{S}$ , which is a contradiction. If a sequence  $x^k$  is unbounded, then there is subsequence  $x^{k_j} \to \infty$  such that  $f(x^{k_j}) \to \lambda \in S$ . Since  $\|\nabla f(x^{k_j})\| \to 0$ , the value  $\lambda$  belongs to  $\widetilde{K}_{\infty}(f)$ , which is a contradiction. Therefore c > 0.

On the other hand, there exists a positive number  $\delta$  such that  $\max_{x \in I} |f(x)| < 1$ because f(0) = 0. We shall prove

$$\|\nabla f(x)\| \ge c|f(x)|^{1-\frac{w_*}{d}} \quad \text{for all } \|x\| \le \delta.$$

Indeed, let  $x \in \mathbb{K}^n$  be such that  $||x|| \leq \delta$  and  $f(x) \neq 0$ . Then

$$0 < |f(x)| < 1.$$

Consequently,  $|f(t \bullet x)| = 1$ , where  $t := |f(x)|^{-\frac{1}{d}} > 1$ . Hence, by the definition of c,

$$c \le \|\nabla f(t \bullet x)\|.$$

Since the polynomial  $\frac{\partial f}{\partial x_i}$  is quasi-homogeneous with weight w and degree d –  $w_j$ , this gives

$$c \leq \max_{j=1,2,\dots,n} \left| t^{d-w_j} \frac{\partial f}{\partial x_j}(x) \right|$$
  
$$\leq \max_{j=1,2,\dots,n} t^{d-w_j} \max_{j=1,2,\dots,n} \left| \frac{\partial f}{\partial x_j}(x) \right| = t^{d-w_*} \|\nabla f(x)\|.$$

(The second inequality follows from t > 1 and  $w_* = \min_{i=1,2,\ldots,n} w_i$ .)

We obtain

$$\|\nabla f(x)\| \ge ct^{-d+w_*} = c|f(x)|^{1-\frac{w_*}{d}}.$$

It is clear that the above inequality also holds for all x such that f(x) = 0. Hence, by the definition of  $\rho(f)$ , we get  $\rho(f) \leq 1 - \frac{w_*}{d}$ . The proof is complete. 

PROOF OF COROLLARY 1.4. It is trivial that (iv)  $\Rightarrow$  (i)  $\Rightarrow$  (ii). Clearly,  $(v) \Rightarrow (iv) \Rightarrow (vi)$ . By a similar argument as in [5], we get  $(iv) \Rightarrow (v)$ . On the other hand, it follows from Theorem 4.1 in [9] that  $\rho(f) \ge 1 - \frac{w^*}{d}$ . But  $w^* = w_* = 1$  because f is homogeneous. Hence, in view of Theorem 1.1, we

obtain the implication (ii)  $\Rightarrow$  (iii). We shall show the implications (iii)  $\Rightarrow$  (iv) and (vi)  $\Rightarrow$  (i).

(iii)  $\Rightarrow$  (iv): Indeed, by definition of  $\rho(f)$  and [2], there exist two positive constants c, r such that

$$\|\nabla f(x)\| \ge c|f(x)|^{1-\frac{1}{d}}$$
 for all  $\|x\| \le r$ .

Let x be an element of  $\mathbb{K}^n, x \neq 0$ . Let  $t := \frac{r}{\|x\|}$ . Then it is easy to check that  $\|(t \bullet x)\| = r$ . Hence

$$\|\nabla f(t \bullet x)\| \ge c|f(t \bullet x)|^{1-\frac{1}{d}}$$

This gives

$$||t^{d-1}\nabla f(x)|| \ge c|t^d f(x)|^{1-\frac{1}{d}}.$$

Consequently,

$$|\nabla f(x)|| \ge c |f(x)|^{1-\frac{1}{d}},$$

which proves (iv).

(vi)  $\Rightarrow$  (i): Let  $x^k$  be a sequence of points in  $\mathbb{C}^n$  such that

(2.1) 
$$x^k \to \infty, f(x^k) \to \lambda \text{ and } \|\nabla f(x^k)\| \to 0.$$

Let t be a positive number such that  $t^d |\lambda| > R$ . Then  $|f(t \bullet x^k)| = t^d |f(x^k)| \ge R$ for k large enough. Hence, condition (vi) implies that

$$\|\nabla f(t \bullet x^k)\| \ge c |f(t \bullet x^k)|^q = c t^{dq} |f(x^k)|^q.$$

Let us note that the polynomial  $\frac{\partial f}{\partial x_j}$  is a quasi-homogeneous polynomial with weight w and degree  $d - w_j$ . This, together with (2.1), implies that  $\lim_{k \to \infty} \|\nabla f(t \bullet x^k)\| = 0$ . Therefore,

$$0 \ge ct^{dq} |\lambda|^q,$$

which yields  $\lambda = 0$ . This proves condition (i).

3. The Fedoryuk condition for quasi-homogeneous polynomials in two variables. The main result of this section is the following:

PROPOSITION 3.1. Let  $f \colon \mathbb{K}^2 \to \mathbb{K}$  be a quasi-homogeneous polynomial. Then  $\widetilde{K}_{\infty}(f)$  is either empty or reduced to  $\{0\}$ .

In the first step, it suffices to study the proposition for the case  $\mathbb{K} = \mathbb{C}$ .

LEMMA 3.2. If Proposition 3.1 holds in the case  $\mathbb{K} = \mathbb{C}$  then it also holds in the case  $\mathbb{K} = \mathbb{R}$ .

PROOF. Indeed, let  $f: \mathbb{R}^2 \to \mathbb{R}$  be a quasi-homogeneous polynomial. Let  $f_{\mathbb{C}}: \mathbb{C}^2 \to \mathbb{C}$  be the complexification of the polynomial f. Then it follows from definitions that

$$\widetilde{K}_{\infty}(f) \subset \widetilde{K}_{\infty}(f_{\mathbb{C}}).$$

This relation proves the statement.

We next prove Proposition 3.1 in a special case.

LEMMA 3.3. Let  $f: \mathbb{C}^2 \to \mathbb{C}$  be a homogeneous polynomial of degree d. Then  $\widetilde{K}_{\infty}(f)$  is either empty or reduced to  $\{0\}$ .

PROOF. Suppose that  $\widetilde{K}_{\infty}(f) \neq \emptyset$ . Let  $\lambda \in \widetilde{K}_{\infty}(f)$ . We shall show that  $\lambda = 0$ . By definition, there exists a sequence of points  $(x_k, y_k) \in \mathbb{C}^2$  such that

$$\lim_{k \to \infty} \|(x_k, y_k)\| = \infty, \ \lim_{k \to \infty} f(x_k, y_k) = \lambda, \ \lim_{k \to \infty} \|\nabla f(x_k, y_k)\| = 0.$$

Without loss of generality we may assume that  $x_k \to \infty$ .

There are two cases to be considered.

CASE 1: The sequence  $y_k$  is bounded. In this case, we have

$$\lim_{k \to \infty} \frac{y_k}{x_k} = 0$$

On the other hand, since f is a homogeneous polynomial of degree d, we may write

$$f(x,y) = y^{l}(a_{1}x - b_{1}y)(a_{2}x - b_{2}y)\cdots(a_{d-l}x - b_{d-l}y),$$

where  $l \in \mathbb{N}, a_i, b_i \in \mathbb{C}$  and  $a_i \neq 0$  for  $i = 1, 2, \dots, d - l$ . If l = 0 then

$$f(x_k, y_k) = x_k^{d-l} \left( a_1 - b_1 \frac{y_k}{x_k} \right) \left( a_2 - b_2 \frac{y_k}{x_k} \right) \cdots \left( a_{d-l} - b_{d-l} \frac{y_k}{x_k} \right) \to \infty \text{ as } k \to \infty,$$

which contradicts the fact that  $f(x_k, y_k) \to \lambda \in \mathbb{C}$ . Thus l > 0.

On the other hand, it is easy to see that we may also expand

$$\frac{\partial f}{\partial y}(x,y) = y^{l-1}(\alpha_1 x - \beta_1 y)(\alpha_2 x - \beta_2 y) \cdots (\alpha_{d-l} x - \beta_{d-l} y),$$

where  $\alpha_i, \beta_i \in \mathbb{C}$  and  $\alpha_i \neq 0$  for  $i = 1, 2, \dots, d - l$ .

We may then rewrite, for  $x \neq 0$ ,

$$f(x,y) = y^{l} x^{d-l} \left( a_{1} - b_{1} \frac{y}{x} \right) \left( a_{2} - b_{2} \frac{y}{x} \right) \cdots \left( a_{d-l} - b_{d-l} \frac{y}{x} \right),$$
  
$$\frac{\partial f}{\partial y}(x,y) = y^{l-1} x^{d-l} \left( \alpha_{1} - \beta_{1} \frac{y}{x} \right) \left( \alpha_{2} - \beta_{2} \frac{y}{x} \right) \cdots \left( \alpha_{d-l} - \beta_{d-l} \frac{y}{x} \right).$$

This implies that

$$f(x_k, y_k) = y_k \frac{\partial f}{\partial y}(x_k, y_k) \frac{\left(a_1 - b_1 \frac{y_k}{x_k}\right) \left(a_2 - b_2 \frac{y_k}{x_k}\right) \cdots \left(a_{d-l} - b_{d-l} \frac{y_k}{x_k}\right)}{\left(\alpha_1 - \beta_1 \frac{y_k}{x_k}\right) \left(\alpha_2 - \beta_2 \frac{y_k}{x_k}\right) \cdots \left(\alpha_{d-l} - \beta_{d-l} \frac{y_k}{x_k}\right)}$$

An immediate consequence of this representation is

$$\lambda = \lim_{k \to \infty} f(x_k, y_k) = 0$$

because  $y_k$  is bounded and

$$\lim_{k \to \infty} \frac{\partial f}{\partial y}(x_k, y_k) = 0,$$
$$\lim_{k \to \infty} \frac{\left(a_1 - b_1 \frac{y_k}{x_k}\right) \left(a_2 - b_2 \frac{y_k}{x_k}\right) \cdots \left(a_{d-l} - b_{d-l} \frac{y_k}{x_k}\right)}{\left(\alpha_1 - \beta_1 \frac{y_k}{x_k}\right) \left(\alpha_2 - \beta_2 \frac{y_k}{x_k}\right) \cdots \left(\alpha_{d-l} - \beta_{d-l} \frac{y_k}{x_k}\right)} = \frac{a_1 a_2 \dots a_{d-l}}{\alpha_1 \alpha_2 \dots \alpha_{d-l}}$$

CASE 2: The sequence  $y_k$  is unbounded.

Having selected a subsequence, we may assume that  $\lim_{k\to\infty} y_k = \infty$ . Since  $\frac{\partial f}{\partial y}$  is homogeneous polynomial of degree d-1, we may write

$$\frac{\partial f}{\partial y}(x,y) = (\alpha_1 x - \beta_1 y)(\alpha_2 x - \beta_2 y) \cdots (\alpha_{d-1} x - \beta_{d-1} y),$$

where  $\alpha_i, \beta_i \in \mathbb{C}$  and  $(\alpha_i, \beta_i) \neq (0, 0)$  for  $i = 1, 2, \dots, d - 1$ . Since  $\lim_{k\to\infty} \frac{\partial f}{\partial y}(x_k, y_k) = 0$ , there exists  $i_0 \in \{1, 2, \dots, d - 1\}$  such that

$$\lim_{k \to \infty} \alpha_{i_0} x_k - \beta_{i_0} y_k = 0.$$

In particular, we have that  $\beta_{i_0} \neq 0$  because  $\lim_{k\to\infty} x_k = \infty$ .

We change the coordinates in the following way:

$$x = x, \quad u = \alpha_{i_0} x - \beta_{i_0} y$$

Let

$$\tilde{f}(x,u) := f\left(x, \frac{\alpha_{i_0}x - u}{\beta_{i_0}}\right).$$

Then  $\tilde{f}$  is homogeneous polynomial of degree d. Moreover, it is easy to check that the following conditions hold

- (i)  $\lim_{k\to\infty} x_k = \infty$  and  $\lim_{k\to\infty} u_k = 0$ , where  $u_k := \alpha_{i_0} x_k \beta_{i_0} y_k$ ;
- (ii)  $\lim_{k\to\infty} \tilde{f}(x_k, u_k) = \lim_{k\to\infty} f(x_k, y_k) = \lambda;$

(iii) 
$$\lim_{k\to\infty} \frac{\partial f}{\partial x}(x_k, u_k) = \lim_{k\to\infty} \frac{\partial f}{\partial x}(x_k, y_k) = 0$$
; and

(iii) 
$$\lim_{k\to\infty} \frac{\partial f}{\partial x}(x_k, u_k) = \lim_{k\to\infty} \frac{\partial f}{\partial x}(x_k, y_k) = 0$$
; and  
(iv)  $\lim_{k\to\infty} \frac{\partial f}{\partial u}(x_k, u_k) = \lim_{k\to\infty} \left[ -\frac{1}{\beta_{i_0}} \frac{\partial f}{\partial y}(x_k, y_k) \right] = 0.$ 

Hence, by applying Case 1 to the homogeneous polynomial  $\tilde{f}$  and the sequence of points  $(x_k, u_k) \in \mathbb{C}^2$ , we obtain  $\lambda = 0$ .

Now we can pass to a proof of Proposition 3.1.

PROOF OF PROPOSITION 3.1. By Lemma 3.2, it suffices to prove the claim in the case  $\mathbb{K} = \mathbb{C}$ .

Let  $f: \mathbb{C}^2 \to \mathbb{C}$  be a quasi-homogeneous polynomial with weight  $w := (w_1, w_2)$  and degree d. If  $w_1 = w_2$  (i.e., if the polynomial f is homogeneous), then Lemma 3.3 applies and there is nothing to prove. Thus, with no loss of generality, we may as well assume that  $w_1 > w_2$ .

Suppose that  $\widetilde{K}_{\infty}(f) \neq \emptyset$ . Let  $\lambda \in \widetilde{K}_{\infty}(f)$ . We need to show that  $\lambda = 0$ . By definition, there exists a sequence of points  $(x_k, y_k) \in \mathbb{C}^2$  such that

$$(3.1)\lim_{k \to \infty} \|(x_k, y_k)\| = \infty, \ \lim_{k \to \infty} f(x_k, y_k) = \lambda, \ \lim_{k \to \infty} \|\nabla f(x_k, y_k)\| = 0.$$

There are two cases to be considered.

CASE 1: The sequence  $y_k$  is bounded. Note that  $f(x,0) = cx^m$  for some  $c \in \mathbb{C}$  and  $m \in \mathbb{N}$ . Hence, if  $y_k \equiv 0$  for k large enough, then from (3.1) it is easily seen that  $\lambda = c = 0$  and there is nothing to prove. Thus, with no loss of generality, we may as well assume that  $y_k \neq 0$  for large k. Let  $(u_k, v_k) \in \mathbb{C}^2$  be such that

$$u_k^{w_1} = x_k,$$
$$v_k^{w_2} = y_k.$$

Then  $\lim_{k\to\infty} u_k = \infty$  and the limit  $\lim_{k\to\infty} \frac{y_k}{u_k}$  is finite (= 0). Note that

$$df(x,y) = w_1 x \frac{\partial f}{\partial x}(x,y) + w_2 y \frac{\partial f}{\partial y}(x,y).$$

Hence, it follows from (3.1) that

$$\lim_{k \to \infty} \frac{x_k}{u_k} \frac{\partial f}{\partial x}(x_k, y_k) = 0,$$
$$\lim_{k \to \infty} \frac{y_k}{v_k} \frac{\partial f}{\partial y}(x_k, y_k) = 0.$$

We next need to introduce an auxiliary polynomial function  $g \colon \mathbb{C}^2 \to \mathbb{C}$  by

$$g(u,v) := f(u^{w_1}, v^{w_2}).$$

Clearly, the polynomial g is homogeneous of degree d and  $\lim_{k\to\infty} g(u_k, v_k) =$  $\lambda$ . Moreover, it is not hard to show that

$$\lim_{k \to \infty} \frac{\partial g}{\partial u}(u_k, v_k) = \lim_{k \to \infty} w_1 \frac{x_k}{u_k} \frac{\partial f}{\partial x}(x_k, y_k) = 0,$$
$$\lim_{k \to \infty} \frac{\partial g}{\partial v}(u_k, v_k) = \lim_{k \to \infty} w_2 \frac{y_k}{v_k} \frac{\partial f}{\partial y}(x_k, y_k) = 0.$$

In other words,  $\lambda \in \widetilde{K}_{\infty}(g)$ . By Lemma 3.3, we get  $\lambda = 0$ . CASE 2: The sequence  $y_k$  is unbounded. Having selected a subsequence, we may assume that  $\lim_{k\to\infty} y_k = \infty$ . Assume that we have proved:

LEMMA 3.4. There exists a homogeneous polynomial function  $h: \mathbb{C}^2 \to \mathbb{C}$ of degree d such that

$$h(u, v^{w_1}) = [f(u, v^{w_2})]^{w_1}.$$

This, of course, implies that

$$\begin{aligned} \frac{\partial h}{\partial u}(u, v^{w_1}) &= w_1[f(u, v^{w_2})]^{w_1 - 1} \frac{\partial f}{\partial x}(u, v^{w_2}),\\ \frac{\partial h}{\partial v}(u, v^{w_1}) &= w_2[f(u, v^{w_2})]^{w_1 - 1} v^{w_2 - w_1} \frac{\partial f}{\partial y}(u, v^{w_2}). \end{aligned}$$

Let  $(u_k, v_k) \in \mathbb{C}^2$  be such that

$$u_k = x_k,$$
$$v_k^{w_2} = y_k.$$

Then it is easy to check that  $\lim_{k\to\infty} ||(u_k, v_k^{w_1})|| = \infty, \lim_{k\to\infty} h(u_k, v_k^{w_1}) =$  $\lambda^{w_1}$  and

$$\lim_{k \to \infty} \frac{\partial h}{\partial u}(u_k, v_k^{w_1}) = \lim_{k \to \infty} w_1[f(x_k, y_k)]^{w_1 - 1} \frac{\partial f}{\partial x}(x_k, y_k) = 0,$$
$$\lim_{k \to \infty} \frac{\partial h}{\partial v}(u_k, v_k^{w_1}) = \lim_{k \to \infty} w_2[f(x_k, y_k)]^{w_1 - 1} v_k^{w_2 - w_1} \frac{\partial f}{\partial y}(x_k, y_k) = 0.$$

(Note that  $w_1 > w_2$  and  $\lim_{k\to\infty} |v_k| = \lim_{k\to\infty} |y_k|^{\frac{1}{w_2}} = \infty$ .) In other words,  $\lambda^{w_1} \in \widetilde{K}_{\infty}(h)$ . Therefore, by Lemma 3.3,  $\lambda^{w_1} = 0$  and hence  $\lambda = 0$ . This completes the proof.

So we are left with proving Lemma 3.4. Let us define the polynomial  $\tilde{f}: \mathbb{C}^2 \to \mathbb{C}, (u, v) \mapsto \tilde{f}(u, v),$  by

$$\tilde{f}(u,v) := [f(u,v^{w_2})]^{w_1}$$

So  $\tilde{f}$  is quasi-homogeneous with weight  $(w_1, 1)$  and degree  $w_1d$ . Then we may write (cf. Proposition 2.1 in [9])

$$\tilde{f}(u,v) = \sum_{w_1i+j=w_1d} a_{ij}u^i v^j = \sum a_{ij}u^i v^{w_1(d-i)}$$

Let  $h(u, v) := \sum a_{ij} u^i v^{(d-i)}$ . Then the polynomial h satisfies the conditions of the lemma. This completes the proof of the lemma and hence of Proposition 3.1.

REMARK 3.5. Proposition 3.1 is actually a consequence of a result of Hà Huy Vui [10] (see also [3, 7, 12, 18]). We give the present proof in order to keep our paper self-contained.

Acknowledgments. The second author would like to thank the Abdus Salam International Centre for Theoretical Physics Trieste-Italy for its hospitality and support. The second author was partially supported by NAFOSTED (Vietnam).

## References

- 1. D'Acunto D., Kurdyka K., Explicit bounds for the Lojasiewicz exponent in the gradient inequality for polynomials, Ann. Pol. Math., 87 (2005), 51–61.
- Bochnak J., Risler J. J., Sur les exposants de Lojasiewicz, Comment. Math. Helv., 50 (1975), 493–507.
- Chadzyński J., Krasiński T., The gradient of a polynomial at infinity, Kodai Math. J., 26 (2003), 317–339.
- Fedoryuk M. V., The asymptotics of a Fourier transform of the exponential function of a polynomial, Soviet Math. Dokl., 17 (1976), 486–490.
- Gwoździewicz J., Płoski A., On the gradient of a homogeneous polynomial, Univ. Iagel. Acta Math., 32 (1995), 25–28.
- Gwoździewicz J., The Lojasiewicz exponent of an analytic function at an isolated zero, Comment. Math. Helv., 74, No. 3 (1999), 364–375.
- Gwoździewicz J., Spodzieja S., The Lojasiewicz gradient inequality in a neighbourhood of the fibre, Ann. Polon. Math., 87 (2005), 151–163.
- Haraux A., Positively homogeneous functions and the Lojasiewicz gradient inequality, Ann. Polon. Math., 87 (2005), 165–174.
- Haraux A., Pham T. S., On the Lojasiewicz exponents of quasi-homogeneous functions, preprints of the Laboratoire Jacques-Louis Lions, 2007, Univ. Pierre et Marie Curie, No. R07041 (http://www.ann.jussieu.fr/publications/2007/R07041.pdf).
- Hà H. V., Nombres de Lojasiewicz et singularités à l'infini des polynômes de deux variables complexes, Comptes Rendus de l'Acad. des Sciences. Série I. Mathématique, **311** (1990), 429–432.
- Jelonek Z., On bifurcation points of a complex polynomial, Proceedings of the AMS, 131, No. 5 (2002), 1361–1367.
- Kuo T. C., Parusiński A., Newton Polygon relative to an arc, in Real and Complex Singularities (São Carlos, 1998), Chapman & Hall Res. Notes Math., 412 (2000), 76–93.

- Kurdyka K., Orro P., Simon S., Semialgebraic Sard theorem for generalized critical values, J. Diff. Geom., 56 (2000), 67–92.
- 14. Lojasiewicz S., Ensembles semi-analytiques, preprint IHES, 1965.
- 15. Parusiński A., On the bifurcation set of a complex polynomial with isolated singularities at infinity, Compositio mathematica, **97** (1995), 369–384.
- Pham Frederic, Vanishing homologies and the n variables saddlepoint method, Proc. Symp. Pure Math., 40, (1983) 310–333.
- Płoski A., Tworzewski P., A separation condition for polynomial mappings, Bull. Pol. Acad. Sci., Math., 44, No. 3 (1996), 327–331.
- Rabier P. J., On the Malgrange condition for complex polynomials of two variables, Manuscripta Math., 109 (2004), 493–509.
- Rabier P. J., Ehresmann's firbrations and Palais-Smale conditions for morphisms of Finsler manifolds, Annals of Math., 146 (1997), 647–691.
- Rodak T., The Fedoryuk Condition and the Lojasiewicz exponent near a fibre of a polynomial, Bull. Acad. Polon. Sci. Math., 52 (2004), 227–229.
- 21. Spodzieja S., Lojasiewicz inequality at infinity for the gradient of a polynomial, Bull. Acad. Polon. Sci. Math., **50** (2002), 273–281.
- Spodzieja S., The Lojasiewicz inequality at infinity for the gradient of a polynomial, Ann. Polon. Math., 87 (2005), 247–263.

Received August 28, 2011

Laboratoire Jacques-Louis Lions U.M.R C.N.R.S. 7598, Université Pierre et Marie Curie Boîte courrier 187, 75252 Paris Cedex 05 France *e-mail*: haraux@ann.jussieu.fr

Department of Mathematics Dalat University 1, Phu Dong Thien Vuong, Dalat Vietnam *e-mail*: sonpt@dlu.edu.vn