

Differential invariance of the multiplicity of real and complex analytic sets

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- 1 Introduction and motivation
- 2 Main results
- 3 Preliminaries
- 4 Generalizations of Gau-Lipman's theorem
 - Complex case
 - Real case

Initial considerations

- 1 Essentially, the results presented here are in the preprint titled “Multiplicity, regularity and blow-spherical equivalence of real analytic sets”, to appear in Math. Z.

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Zariski's Multiplicity Conjecture

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Let $f, g: (\mathbb{C}^n, 0) \rightarrow (\mathbb{C}, 0)$ be two reduced complex analytic functions. If there is a homeomorphism $\varphi: (\mathbb{C}^n, V(f), 0) \rightarrow (\mathbb{C}^n, V(g), 0)$, then $m(V(f), 0) = m(V(g), 0)$.

Invariance of the multiplicity in the differentiable case

Ephraim-Trotman's theorem (1976)

Let $f, g: (\mathbb{C}^n, 0) \rightarrow (\mathbb{C}, 0)$ be two reduced complex analytic functions. If there is a C^1 diffeomorphism $\varphi: (\mathbb{C}^n, V(f), 0) \rightarrow (\mathbb{C}^n, V(g), 0)$, then $m(V(f), 0) = m(V(g), 0)$.

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Gau-Lipman's theorem (1981)

Let $X, Y \subset \mathbb{C}^n$ be two complex analytic sets. If there is a homeomorphism $\varphi: (\mathbb{C}^n, X, 0) \rightarrow (\mathbb{C}^n, Y, 0)$ such that φ and φ^{-1} have derivatives at 0, then $m(X, 0) = m(Y, 0)$.

Metric versions of Zariski's Multiplicity Conjecture

Metric version of ZM Conjecture

Let $X \subset \mathbb{C}^n$ and $Y \subset \mathbb{C}^m$ be two complex analytic sets with $\dim X = \dim Y = d$. If their germs at zero are bi-Lipschitz homeomorphic, then their multiplicities $m(X, 0)$ and $m(Y, 0)$ are equal.

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Global metric version of ZM Conjecture

Let $X \subset \mathbb{C}^n$ and $Y \subset \mathbb{C}^m$ be two complex algebraic sets with $\dim X = \dim Y = d$. If X and Y are bi-Lipschitz homeomorphic at infinity, then $\deg(X) = \deg(Y)$.

Some answers to metric versions of ZM Conjecture

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Some answers to metric versions of ZM Conjecture

- These two metric versions of Zariski's Multiplicity Conjecture are equivalent and hold true if $d = 1$ or 2 (Bobadilla, Fernandes and S. (2018)¹);

¹Bobadilla, J.F. de; Fernandes, A. and Sampaio, J. E. *Multiplicity and degree as bi-lipschitz invariants for complex sets*. Journal of Topology, vol. 11 (2018), 958-966.

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- They have negative answers if $d > 2$ (Birbrair, Fernandes, S., Verbitsky (2020)³).

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Fukui-Kurdyka-Paunescu Conjecture

Fukui-Kurdyka-Paunescu conjecture

Let $X, Y \subset \mathbb{R}^n$ be two germs at the origin of irreducible real analytic subsets. If $h: (\mathbb{R}^n, 0) \rightarrow (\mathbb{R}^n, 0)$ is the germ of a subanalytic, arc-analytic and bi-Lipschitz homeomorphism such that $h(X) = Y$, then $m(X, 0) \equiv m(Y, 0) \pmod{2}$.

Partial answers

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Partial answers

- It is true for $n = 2$ (Risler (2001)⁴);

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- It is true in general (Fernandes, Jelonek, S. (2021)⁸).

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⁸Fernandes, A.; Zbigniew, J. and Sampaio, J. E. *On the Fukui-Kurdyka-Paunescu Conjecture*, arXiv:2108.01179 [math.AG].

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Generalization of Gau-Lipman's theorem

Theorem (S. '21)

Let $(X, 0), (Y, 0) \subset (\mathbb{C}^n, 0)$ be germs of complex analytic sets and let $h: (X, 0) \rightarrow (Y, 0)$ be a homeomorphism which is blow-spherical differentiable at 0. Then $m(X, 0) = m(Y, 0)$.

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Corollary (Gau-Lipman '83)

Let $(X, 0), (Y, 0) \subset (\mathbb{C}^n, 0)$ be germs of complex analytic sets and let $h: (\mathbb{C}^n, X, 0) \rightarrow (\mathbb{C}^n, Y, 0)$ be a homeomorphism such that h and h^{-1} are differentiable at 0. Then $m(X, 0) = m(Y, 0)$.

Real version of Gau-Lipman's theorem

Theorem (S. '21)

Let $(X, 0) \subset (\mathbb{R}^n, 0)$, $(Y, 0) \subset (\mathbb{R}^m, 0)$ be germs of real analytic sets and let $h: (X, 0) \rightarrow (Y, 0)$ be a homeomorphism which is blow-spherical differentiable at 0. Then $m(X, 0) \equiv m(Y, 0) \pmod{2}$.

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Definition

Let $X \subset \mathbb{R}^n$ be a subset and let $p \in X$. We say that $v \in \mathbb{R}^n$ is a **tangent vector** of X at p if there is sequences $\{t_j\}_{j \in \mathbb{N}}$ of positive real numbers and $\{x_j\}_{j \in \mathbb{N}} \subset X$ such that $\lim_{j \rightarrow \infty} t_j = 0$ and $\lim \frac{x_j - p}{t_j} = v$. We denote by $C(X, p)$ the set of all the tangent vectors of X at p and we call it **the tangent cone of X at p** .

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Definition

The **strict transform** of the subset X under the spherical blowing-up $\beta_n : \mathbb{S}^{n-1} \times \mathbb{R}^+ \rightarrow \mathbb{R}^n$ (given by $\beta_n(x, r) = rx$) is $X' := \overline{\beta_n^{-1}(X \setminus \{0\})}$ and the **boundary** $\partial X'$ of the **strict transform** is $\partial X' := X' \cap (\mathbb{S}^{n-1} \times \{0\})$.

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Note that $\partial X' = C_X \times \{0\}$, where $C_X = C(X, 0) \cap \mathbb{S}^{n-1}$.

Definition

Let $X \subset \mathbb{R}^n$ be a subanalytic set such that $0 \in \overline{X}$ is a non-isolated point. We say that $x \in \partial X'$ is a **simple point of $\partial X'$** , if there is an open $U \subset \mathbb{R}^{n+1}$ with $x \in U$ such that:

- the connected components of $(X' \cap U) \setminus \partial X'$, say X_1, \dots, X_r , are topological manifolds with $\dim X_i = \dim X$, $i = 1, \dots, r$;
- $(X_i \cup \partial X') \cap U$ are topological manifolds with boundary.

Let $Smp(\partial X')$ be the set of simple points of $\partial X'$.

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Let $Smp(\partial X')$ be the set of simple points of $\partial X'$.

$Smp(\partial X')$ is an open dense subset of the $(d-1)$ -dimensional part of $\partial X'$ whenever $\partial X'$ is a $(d-1)$ -dimensional subset, where $d = \dim X$.

Definition

Let $X \subset \mathbb{R}^n$ be a subanalytic set such that $0 \in X$. We define $k_X : \text{Smp}(\partial X') \rightarrow \mathbb{N}$, with $k_X(x)$ the number of connected components of the germ $(\beta_n^{-1}(X \setminus \{0\}), x)$.

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k_X is constant in each connected component C_j of $\text{Smp}(\partial X')$. Then, we define $k_X(C_j) := k_X(x)$ with $x \in C_j$.

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When X is a complex analytic set, there is a complex analytic set Σ with $\dim \Sigma < \dim X$ such that for each irreducible component X_j of the tangent cone $C(X, 0)$, $X_j \setminus \Sigma$ intersects only one connected component C_i of $Smp(\partial X')$. Then, we define $k_X(X_j) := k_X(C_i)$.

Definition

Let $X \subset \mathbb{R}^n$ be a real analytic set. We denote by C'_X the closure of the union of all connected components C_j of $Smp(\partial X')$ such that $k_X(C_j)$ is an odd number. We call C'_X the **odd part of** $C_X \subset \mathbb{S}^{n-1}$.

An easy example

Definition

Let $X \subset \mathbb{R}^n$ and $Y \subset \mathbb{R}^m$ be closed sets. We say that a homeomorphism $h: (X, 0) \rightarrow (Y, 0)$ is a **blow-spherical homeomorphism** if the homeomorphism $\beta_m^{-1} \circ h \circ \beta_n: X' \setminus \partial X' \rightarrow Y' \setminus \partial Y'$ extends to a homeomorphism $h': X' \rightarrow Y'$. In this case, we denote by $\nu_h: C_X \rightarrow C_Y$ the homeomorphism such that $h(x, 0) = (\nu_h(x), 0)$ for all $x \in C_X$.

Definition

Let $X, Y \subset \mathbb{R}^n$ and $Y \subset \mathbb{R}^m$ be closed sets. We say that a blow-spherical homeomorphism $h: (X, 0) \rightarrow (Y, 0)$ is **blow-spherical differentiable at 0** if there exists an isomorphism linear $\phi: \mathbb{R}^n \rightarrow \mathbb{R}^n$ such that $\nu_h(x) = \frac{\phi(x)}{\|\phi(x)\|}$ for all $x \in C_X$.

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Example

Let $(X, 0), (Y, 0) \subset (\mathbb{R}^n, 0)$ be germs of closed sets and let $\varphi: (\mathbb{R}^n, X, 0) \rightarrow (\mathbb{R}^n, Y, 0)$ be a homeomorphism such that φ and φ^{-1} are differentiable at 0. Then $h = \varphi|_X: (X, 0) \rightarrow (Y, 0)$ is blow-spherical differentiable at 0 and $\nu_h = \frac{D\varphi_0}{\|D\varphi_0\|}$.

Multiplicity

Proposition

Let X be an analytic set in \mathbb{C}^n with $k = \dim X$ and $0 \in X$. Then, for an orthogonal projection $\pi : \mathbb{C}^n \rightarrow \mathbb{C}^k$ such that $\pi^{-1}(0) \cap C(X, 0) = \{0\}$ and for U being a sufficiently small open neighborhood of $0 \in \mathbb{C}^n$, we have that $\#\pi^{-1}(t) \cap (X \cap U)$ is constant for $t \in \pi(U) \setminus \sigma$ for some σ , which is an analytic set in $\pi(U)$.

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Definition

In this case, we define the **multiplicity of X at 0** to be $m(X, 0) = \#\pi^{-1}(t) \cap (X \cap U)$ for $t \in \pi(U) \setminus \sigma$.

Real multiplicity

Definition

Let $X \subset \mathbb{R}^n$ be a real analytic set with $0 \in X$ and

$$X_{\mathbb{C}} = V(\mathcal{I}_{\mathbb{R}}(X, 0)),$$

where $\mathcal{I}_{\mathbb{R}}(X, 0)$ is the ideal in $\mathbb{C}\{z_1, \dots, z_n\}$ generated by the complexifications of all germs of real analytic functions that vanish on the germ $(X, 0)$. We define the multiplicity of X at the origin by $m(X, 0) := m(X_{\mathbb{C}}, 0)$.

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Generalization of Gau-Lipman's theorem

Theorem (S. '21)

Let $(X, 0), (Y, 0) \subset (\mathbb{C}^n, 0)$ be germs of complex analytic sets and let $h: (X, 0) \rightarrow (Y, 0)$ be a homeomorphism which is blow-spherical differentiable at 0. Then $m(X, 0) = m(Y, 0)$.

Key results

Proposition 1

Let $h: (X, 0) \rightarrow (Y, 0)$ be a blow-spherical homeomorphism. Then, $h'(Smp(\partial X')) = Smp(\partial Y')$ and $k_X(v) = k_Y(h'(v))$ for all $v \in Smp(\partial X')$.

Key results

Proposition 1

Let $h: (X, 0) \rightarrow (Y, 0)$ be a blow-spherical homeomorphism. Then, $h'(Smp(\partial X')) = Smp(\partial Y')$ and $k_X(v) = k_Y(h'(v))$ for all $v \in Smp(\partial X')$.

Proposition 2

Let X be a complex analytic set of \mathbb{C}^n with $0 \in X$ and let X_1, \dots, X_r be the irreducible components of $C(X, 0)$. Then

$$m(X, 0) = \sum_{j=1}^r k_X(X_j) \cdot m(X_j, 0).$$

Sketch of the proof

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- There exists an \mathbb{R} -linear isomorphism $\phi: \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$ such that $\nu_h(x) = \frac{\phi(x)}{\|\phi(x)\|}$ for all $x \in C_X$.

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- Let X_1, \dots, X_r and Y_1, \dots, Y_r be the irreducible components of $C(X, 0)$ and $C(Y, 0)$, respectively, such that $Y_j = \phi(X_j)$, $j = 1, \dots, r$.

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- Its complexification $\phi_{\mathbb{C}}: \mathbb{C}^{2n} \rightarrow \mathbb{C}^{2n}$ is a \mathbb{C} -linear isomorphism and $\phi_{\mathbb{C}}(X_j\mathbb{C}) = Y_j\mathbb{C}$ for all $j = 1, \dots, r$.

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- Let X_1, \dots, X_r and Y_1, \dots, Y_r be the irreducible components of $C(X, 0)$ and $C(Y, 0)$, respectively, such that $Y_j = \phi(X_j)$, $j = 1, \dots, r$.
- Its complexification $\phi_{\mathbb{C}}: \mathbb{C}^{2n} \rightarrow \mathbb{C}^{2n}$ is a \mathbb{C} -linear isomorphism and $\phi_{\mathbb{C}}(X_{j\mathbb{C}}) = Y_{j\mathbb{C}}$ for all $j = 1, \dots, r$.
- For each $j \in \{1, \dots, r\}$, $X_{j\mathbb{C}}$ (resp. $Y_{j\mathbb{C}}$) is complex analytic isomorphic to $X_j \times c_n(X_j)$ (resp. $Y_j \times c_n(Y_j)$).⁹

⁹Ephraim, R. *The cartesian product structure and C^∞ equivalences of singularities*. Transactions of the American Mathematical Society, vol. 224 (1976), no. 2, 299–311.

Sketch of the proof

- There exists an \mathbb{R} -linear isomorphism $\phi: \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$ such that $\nu_h(x) = \frac{\phi(x)}{\|\phi(x)\|}$ for all $x \in C_X$.
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- $m(X_j, 0)^2 = m(X_j \times c_n(X_j), 0) = m(Y_j \times c_n(Y_j), 0) = m(Y_j, 0)^2$.

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- However, by Proposition 1, $k_X(X_j) = k_Y(Y_j)$ for all $j = 1, \dots, r$. Thus,
$$\sum_{j=1}^r k_X(X_j) \cdot m(X_j, 0) = \sum_{j=1}^r k_Y(Y_j) \cdot m(Y_j, 0)$$

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Gau-Lipman' theorem and an example

Corollary (Gau-Lipman '83)

Let $(X, 0), (Y, 0) \subset (\mathbb{C}^n, 0)$ be germs of complex analytic sets and let $h: (\mathbb{C}^n, X, 0) \rightarrow (\mathbb{C}^n, Y, 0)$ be a homeomorphism such that h and h^{-1} are differentiable at 0. Then $m(X, 0) = m(Y, 0)$.

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Example

Let $X = \{(x, y) \in \mathbb{C}^2; y^2 = x^3\}$ and $Y = \{(x, y) \in \mathbb{C}^2; y^2 = x^5\}$. There exists a blow-spherical homeomorphism $h: X \rightarrow Y$ such that $\nu_h = id_{C_X}$. Thus, h is a blow-spherical differentiable mapping. However, there is no homeomorphism $\varphi: (\mathbb{C}^2, X, 0) \rightarrow (\mathbb{C}^2, Y, 0)$.

Real version of Gau-Lipman's theorem

Theorem (S. '21)

Let $(X, 0) \subset (\mathbb{R}^n, 0)$, $(Y, 0) \subset (\mathbb{R}^m, 0)$ be germs of real analytic sets and let $h: (X, 0) \rightarrow (Y, 0)$ be a homeomorphism which is blow-spherical differentiable at 0. Then $m(X, 0) \equiv m(Y, 0) \pmod{2}$.

Key results

Proposition 3

Let $h: (X, 0) \rightarrow (Y, 0)$ be a blow-spherical homeomorphism. Then,
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Proposition 4

Let $X \subset \mathbb{R}^n$ be a d -dimensional real analytic set and $0 \in X$. Then,
 $\deg(C'_X)$ is defined and $\deg(C'_X) \equiv m(X) \pmod{2}$.

Sketch of the proof

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- There exists an \mathbb{R} -linear isomorphism $\phi: \mathbb{R}^n \rightarrow \mathbb{R}^n$ such that $\nu_h(x) = \frac{\phi(x)}{\|\phi(x)\|}$ for all $x \in C_X$.

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- By Proposition 4, $\deg(C'_Y) \equiv m(Y, 0) \pmod{2}$ and $\deg(C'_A) \equiv m(A, 0) \pmod{2}$.

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- By Proposition 4, $\deg(C'_Y) \equiv m(Y, 0) \pmod{2}$ and $\deg(C'_A) \equiv m(A, 0) \pmod{2}$.
- Since $C'_Y = C'_A$, $\deg(C'_Y) \equiv \deg(C'_A) \pmod{2}$.
- Therefore $m(Y, 0) \equiv m(A, 0) \pmod{2}$.

Corollary (S. '21)

Let $(X, 0) \subset (\mathbb{R}^n, 0)$, $(Y, 0) \subset (\mathbb{R}^m, 0)$ be germs of real analytic sets and let $h: (X, 0) \rightarrow (Y, 0)$ be a homeomorphism such that h and h^{-1} are differentiable at 0. Then $m(X, 0) \equiv m(Y, 0) \pmod{2}$.

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The assumption that $D\varphi_0$ is an isomorphism cannot be removed.

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Let $X = \{(x, y) \in \mathbb{R}^2; y^3 = x^2\}$ and $Y = \{(x, y) \in \mathbb{R}^2; y = 0\}$. Then $\varphi: (\mathbb{R}^2, X, 0) \rightarrow (\mathbb{R}^2, Y, 0)$ given by $\varphi(x, y) = (x, y^3 - x^2)$ is a homeomorphism, which has a derivative at the origin, but $D\varphi_0$ is not an isomorphism. In this case, $m(X, 0) = 2$ and $m(Y, 0) = 1$.

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We cannot obtain equality (without modulo 2).

Example

Let $V = \{(x, y, z) \in \mathbb{R}^3; z^3 = x^5y + xy^5\}$. Then the mapping $\varphi: \mathbb{R}^3 \rightarrow \mathbb{R}^3$ given by $\varphi(x, y, z) = (x, y, z - (x^5y + xy^5)^{\frac{1}{3}})$ is a homeomorphism which has a derivative at the origin and its inverse has also a derivative at the origin. Moreover, $\varphi(V) = \mathbb{R}^2 \times \{0\}$, but $m(V, 0) = 3$ and $m(\mathbb{R}^2 \times \{0\}, 0) = 1$.

Thanks!