Maximum-modulus theorem

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Lemma (6.1, continuity of polynomials' roots)

Let f be a polynomial of degree $n \ge 1$ with roots z_1, \ldots, z_n . Then $\forall \varepsilon > 0 \ \forall m \in \mathbb{N}_0 \ \exists \delta > 0 :$ $g(z) = b_m z^m + \ldots + b_0, \quad |b_j| < \delta$ $\Longrightarrow \exists \tilde{z_1}, \ldots, \tilde{z_n} \text{ roots of } f + g \text{ such that } |\tilde{z_j} - z_j| < \varepsilon.$

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$$\|z\|_{\infty}:=\max_{j}|z_{j}|,\quad z\in\mathbb{C}^{k}$$

$$eta_{m{p}}:=\min\{\|m{z}\|_{\infty}:m{p}(m{z})=0\}, \quad m{p}:\mathbb{C}^k\longrightarrow\mathbb{C} ext{ polynomial}$$

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$$\beta_{p} := \min\{\|z\|_{\infty} : p(z) = 0\}, \quad p : \mathbb{C}^{k} \longrightarrow \mathbb{C} \text{ polynomial}$$

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Proof.

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 with $p(\widetilde{z}) = 0$ and $\|\widetilde{z}\|_{\infty} = \beta_p > 0$.

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Take any $\tilde{z} \in \mathbb{C}^k$ with $p(\tilde{z}) = 0$ and $\|\tilde{z}\|_{\infty} = \beta_p > 0$. Assume that $|\tilde{z}_j| < \beta_p$ for some j, say j = 1, and define $q(z_1) := p(z_1, \tilde{z}_2, \dots, \tilde{z}_k)$.

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There exists $\widehat{\triangle} \in \mathbf{\Delta}$ with $\overline{\sigma}(\widehat{\triangle}) = 1$ and $\det(I_n - M\widehat{\triangle}) = 0$. SVD on any block of $\widehat{\triangle}$ gives $U, V \in \mathbf{Q}$ and $\widehat{\Sigma} = \operatorname{diag}[\widehat{\delta}_1 I_{r_1}, \ldots, \widehat{\delta}_s I_{r_s}, \widehat{\alpha}_1, \ldots, \widehat{\alpha}_w] \in \mathbf{\Delta}$ such that $I_n - MU\widehat{\Sigma}V^*$ is singular.

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$$\max_{Q \in \boldsymbol{Q}} \rho(QM) = \max_{\triangle \in \boldsymbol{B}_{\boldsymbol{\Delta}}} \rho(\triangle M) = \mu_{\boldsymbol{\Delta}}(M).$$

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$$M = \begin{bmatrix} M_{11} & M_{12} \\ M_{21} & M_{22} \end{bmatrix} \in \mathbb{C}^{(n_1+n_2)\times(n_1+n_2)}$$

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where $M_{jk} \in \mathbb{C}^{n_j \times n_k}$, $\Delta_j \subset \mathbb{C}^{n_j \times n_j}$ are block structures, B_j , Q_j , μ_j corresponding balls, sets of unitary matrices and SSV's, j = 1, 2.

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$$\max_{Q_2 \in \boldsymbol{Q}_2} \mu_1(\mathscr{S}(M,Q_2)) = \max_{\triangle_2 \in \boldsymbol{B}_2} \mu_1(\mathscr{S}(M,\triangle_2)).$$

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 $\det(I_{n_1+n_2}-M\operatorname{diag}[Q_1,Q_2])=\det(I_{n_2}-M_{22}Q_2)\det(I_{n_1}-\mathscr{S}(M,Q_2)Q_1)$

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holds. Thus $I_{n_1} - \mathscr{S}(M, Q_2)Q_1$ is singular, whence $\mu_1(\mathscr{S}(M, Q_2)) \ge 1$.

Remark (6.6)

Similarity of the maximum-modulus theorem to a result of Boyd-Desoer:

$$\max_{|z|=1} \mu_{\Delta}(H(z)) = \max_{|z| \leq 1} \mu_{\Delta}(H(z))$$

for $H \in \mathcal{O}(\mathbb{D}, \mathbb{C}^{n \times n}) \cap \mathcal{C}(\overline{\mathbb{D}})$.

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 $\mathbf{\Delta}_1 := \{ \delta \mathbf{I}_n : \delta \in \mathbb{C} \},\$

$$\widetilde{M} = \begin{bmatrix} 0 & M \\ I_n & 0 \end{bmatrix}$$

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Since $\mu_1 = \rho$, $\mu_2(0) = 0$ and $\mathscr{S}(\widetilde{M}, \bigtriangleup) = 0 + M \bigtriangleup (I_n - 0 \bigtriangleup)^{-1} I_n = M \bigtriangleup$, it follows that

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$$\max_{Q \in \mathbf{Q}} \rho(QM) = \max_{Q \in \mathbf{Q}} \mu_1(\mathscr{S}(\widetilde{M}, Q))$$

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$$\begin{array}{lll} \max_{\boldsymbol{P} \in \mathbf{Q}} \rho(\boldsymbol{Q}\boldsymbol{M}) &=& \max_{\boldsymbol{Q} \in \mathbf{Q}} \mu_1(\mathscr{S}(\boldsymbol{M}, \boldsymbol{Q})) \\ &=& \max_{\boldsymbol{\Delta} \in \mathbf{B}_{\mathbf{\Delta}}} \mu_1(\mathscr{S}(\widetilde{\boldsymbol{M}}, \boldsymbol{\Delta})) \end{array}$$

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